

LOUISIANA BOARD OF ETHICS  
MINUTES  
September 17, 2015

The Board of Ethics met on September 17, 2015 at 2:30 p.m. in the Griffon Room on the 1<sup>st</sup> floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Blewer, Larzelere, Lavastida, Leggio, McAnelly, Michiels, Monroe and Shelton. Absent were Board Members Bruneau and Ingrassia. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, Mike Dupree, Jennifer Land, Suzanne Mooney, Brett Robinson and Haley Williams.

The Board unanimously resolved into executive session to consider complaints and reports deemed confidential pursuant to Section 1141 of the Code of Governmental Ethics.

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**EXECUTIVE BUSINESS**

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The Board unanimously resolved into general business session.

On motion made, seconded and unanimously passed, the Board agreed to add consideration of Docket No. 09-1025 to the general business agenda.

On motion made, seconded and unanimously passed, the Board agreed to add consideration of Docket No. 09-1025 to the executive business agenda.

The Board unanimously resolved into executive business session.

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**EXECUTIVE BUSINESS**

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The Board unanimously resolved into general business session.

On motion made, seconded and unanimously passed, the Board authorized the staff to proceed with a request for rehearing in Docket No. 09-1025 and if such request is denied, to appeal the matter to the 1<sup>st</sup> Circuit Court of Appeal.

The Board unanimously adjourned at 4:17 p.m.

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Secretary

APPROVED:

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Chairman

LOUISIANA BOARD OF ETHICS  
MINUTES  
September 18, 2015

The Board of Ethics met on September 18, 2015 at 9:00 a.m. in the LaBelle Room on the 1<sup>st</sup> floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Blewer, Bruneau, Ingrassia, Larzelere, Lavastida, Leggio, McAnelly, Michiels, Monroe and Shelton present. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, Mike Dupree, Jennifer Land, Brett Robinson and Haley Williams.

The Board considered a request for reconsideration in Docket No. 15-347 for a waiver of the \$450 late fee assessed against George Mack, Jr., a candidate for the Lincoln Parish School Board in the November 4, 2014 election, for filing his 2013 Tier 3 Candidate personal financial disclosure statement 9 days late. On motion made, seconded and unanimously passed, the Board temporarily deferred the matter until later in the meeting.

Mr. Nolan Marshall, Jr., a candidate for Orleans Parish School Board, District 7, in the November 6, 2012 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 15-755 for a waiver of the \$400 late fee assessed against him for filing his 2014 Supplemental campaign finance disclosure report 14 days late. After hearing from Mr. Marshall, on motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee.

Mr. Timothy Monceaux, a candidate for Crowley Board of Aldermen, Division B in the November 4, 2014 election, and Mr. Robert Barousse, Acadia Parish Clerk of Court, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure,

in connection with a request in Docket No. 15-838 for a waiver of the \$120 and \$569 late fees assessed against Mr. Monceaux for filing his 30-P campaign finance disclosure report 3 days late and his 10-P campaign finance disclosure report 18 days late. After hearing from Mr. Monceaux and Mr. Barousse, on motion made, seconded and unanimously passed, the Board rescinded the \$120 late fee in connection with the 30-P campaign finance disclosure report and declined to waive the \$569 late fee in connection with the 10-P campaign finance disclosure report but suspended \$369 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request for an advisory opinion in Docket No. 15-880 regarding whether a company, Temple Design, LLC, owned by Stephen McKinney and his wife can enter into certain transactions if Mr. McKinney is elected to the West Feliciana Parish Council. Mr. Stephen McKinney and his attorney, Mr. Frank Simoneaux, were present in the audience to provide additional information if requested by the Board. On motion made, seconded and unanimously passed, the Board concluded that Section 1113A of the Code of the Governmental Ethics would prohibit Temple Design, LLC from selling products or services to the Parish Hospital, the Parish Economic Development Board, the Parish Council, the Parish Library and the Parish Parks and Recreation Department at the time when Mr. McKinney serves a member of the West Feliciana Parish Council, since the Parish Hospital, the Parish Economic Development Board, the Parish Library, and the Parish Parks and Recreation Department were created by the Parish Council and would be considered a part of Mr. McKinney's agency. However, the Parish Tax Assessor and the District Attorney would not be considered a part of his agency. Therefore, it would not present a violation

of the Code of Governmental Ethics if Temple Design, LLC sells products or services to the Parish Tax Assessor or the District Attorney. Based upon the limited information provided, the Board further declined to render an opinion regarding all of the other issues presented and advised Mr. McKinney to seek an advisory opinion, if a specific case arises in the future.

Ms. Denise Dandridge, the Director of Health Services for the Office of Juvenile Justice, and Ellyn Toney, Chief of Operations for the Office of Juvenile Justice, appeared before the Board in connection with a request for an advisory opinion in Docket No. 15-903 regarding whether Ms. Dandridge can accept a car that she won at the American Correctional Association (ACA) conference. After hearing from Ms. Dandridge and Ms. Toney, on motion made, seconded and passed by a vote of 6 yeas by Board Members Bruneau, Ingrassia, Lavastida, McAnelly, Michiels and Shelton, 3 nays by Board Members Blewer, Leggio and Monroe and 1 abstention by Board Member Larzelere, the Board concluded that Ms. Dandridge may accept the car since (1) it is not related to the duties and performance of her job, based on this particular set of circumstances, and (2) the ACA and vendors who provided the funds to purchase the vehicle are not prohibited sources, based on this particular set of circumstances.

The Board considered a request for reconsideration in Docket No. 15-347 for a waiver of the \$450 late fee assessed against George Mack, Jr., a candidate for the Lincoln Parish School Board in the November 4, 2014 election, for filing his 2013 Tier 3 Candidate personal financial disclosure statement 9 days late. On motion made, seconded and unanimously passed, the Board affirmed its prior decision to decline to waive the \$450 late fee.

The Board recessed at 10:10 a.m. and resumed back into general business session at 10:20 a.m.

On motion made, seconded and unanimously passed, the Board adopted the following general consent agenda items:

On motion made, seconded and unanimously passed, the Board agreed to take action on items G7-G30 en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G7-G30, excluding items G9 and G29, taking the following action:

In connection with a request for reconsideration, affirmed the prior advisory opinion in Docket No. 15-727 concluding that Section 1121A of the Code of Governmental Ethics prohibits John Wintz, a former employee of the Materials and Testing Section as an Engineer 7 with the Department of Transportation and Development (DOTD), from assisting Michael Baker International, for compensation, in a transaction involving the Material and Testing Section for two years following his retirement from DOTD on January 11, 2014. The Board further advised that Section 1121B of the Code of Governmental Ethics also prohibits Mr. Wintz from assisting Michael Baker International, for compensation, in a transaction or in an appearance in connection with a transaction in which he participated while employed and involving DOTD for two years following his retirement from DOTD on January 11, 2014.

Adopted an advisory opinion in Docket No. 15-770 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Dorsey Insurance Agency from submitting proposals for insurance coverage with the Acadia Parish Police Jury while its employee, Alfred J. "Fatty" Broussard, serves as an elected member of the Police Jury, since Mr. Broussard, as an elected member the Acadia Parish Police Jury, is prohibited from providing compensated services

to the Dorsey Insurance Agency, at a time when the Dorsey Insurance Agency submits proposals for insurance coverage with, or has contracted to provide insurance coverage for, Acadia Parish.

Adopted an advisory opinion in Docket No. 15-776 concluding that, based upon the facts as presented, no violation of the Code of Governmental Ethics is presented by Linda Curtis-Sparks serving as an elected member of the Sabine Parish Police Jury and being employed as the Director of the Sabine Parish Tourist Commission. The Board further advised that Ms. Curtis-Sparks should be advised that this opinion request may present an issue associated with the Louisiana Dual Office-Holding laws, which are under the jurisdiction of the Attorney General.

In connection with a request for clarification of an advisory opinion rendered in Docket No. 13-466, adopted an advisory opinion in Docket No. 15-777 concluding that the new information presented does not change the analysis or result of the advisory opinion rendered in Docket No. 13-466 concluding that no violation of the Code of Governmental Ethics is presented by Maxie Monroe, a former Jonesboro Police Officer and member of the Jackson Parish Police Jury, accepting a position with the Jackson Parish Correctional Facility, since Ms. Monroe will not be entering into a transaction or contract under the supervision of the Jackson Parish Police Jury, participating in any transaction in which she participated as an officer for Jonesboro or assisting another person in a transaction with the Jonesboro Police Department.

Adopted an advisory opinion in Docket No. 15-781 concluding that, based on the facts as presented, no violation of the Code of Governmental Ethics is presented by Ray D. Jones Jr. being elected to the Monroe City Council while serving the remainder of his term on the Southside Economic Development District Commission. However, Section 1113B of the Code of Governmental Ethics would prohibit Mr. Jones from being reappointed to the Southside Economic

Development District Commission by the Monroe City Council if he were elected to the Monroe City Council. The Board further advised that Mr. Jones should be advised that this opinion request may present an issue associated with the Louisiana Dual Office-Holding laws, which are under the jurisdiction of the Attorney General.

Adopted an advisory opinion in Docket No. 15-782 concluding that Section 1113B of the Code of Governmental Ethics would prohibit Jeremy Pipsair or Laser Construction from bidding on or entering into any transaction under the supervision or jurisdiction of the Water Works Board for Assumption Parish, if Mr. Pipsair is appointed to the Assumption Parish Water Works Board. However, Section 1113B of the Code of Governmental Ethics would not prohibit Mr. Pipsair and Laser Construction from entering into transactions with the Parish or the Drainage District, as those agencies are not under the supervision or jurisdiction of the Water Works Board.

Adopted an advisory opinion in Docket No. 15-784 concluding that Section 1112B(5) of the Code of Governmental Ethics would require Francis Neziانيا, a member of the Zachary City Council, to recuse himself from voting on a request by Walmart to rezone property where it intends to build a neighborhood grocery store, since Mr. Neziانيا is a Subway franchisee with three (3) locations situated inside Walmart stores and remits monthly rental payments directly to Walmart. However, Mr. Neziانيا may participate in discussion and debate concerning that matter, provided that he discloses his conflict on the record in accordance with Section 1120 of the Code of Governmental Ethics.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, adopted an advisory opinion in Docket No. 15-785 concluding that the Campaign Finance Disclosure Act would permit Donovan K. Hudson, a candidate for State Representative, District 40, to use campaign



funds for legal fees incurred to defend a challenge to his residency qualification, since the potential lawsuit would involve Mr. Hudson's qualification to be a candidate for and to hold office.

Adopted an advisory opinion in Docket No. 15-791 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit David Sonnier, a member of the Acadia Fire Protection District 10 Board of Directors, from accepting employment with businesses that do business with the Acadia Fire Protection District 10, since the Acadia Parish Fire District 10 is his agency.

Adopted an advisory opinion in Docket No. 15-793 concluding that, based upon the facts as presented, no violation of the Code of Governmental Ethics would be presented by Brett Morgan, an employee of the Department of Transportation and Development (DOTD), receiving compensation for providing training services for Louisiana Association of General Contractors (LaAGC) and the American Traffic Safety Services Association (ATSSA) nor would Mr. Morgan be prohibited from training DOTD employees if his job duties were changed to include training.

Adopted an advisory opinion in Docket No. 15-835 concluding that Section 1113A(1)(a) of the Code of Governmental Ethics would prohibit Bates and Thigpen, a clothing store owned by William Bates, from bidding on or entering into a contract to supply uniforms to the Baton Rouge Emergency Medical Services (EMS) if Mr. Bates' daughter, Gina Bates, is employed by the agency.

Adopted an advisory opinion in Docket No. 15-836 concluding that, based upon the facts as presented, no violation of the Code of Governmental Ethics is presented by Leanne Guidry, an employee of the Governor's Office of Homeland Security and Emergency Preparedness (GOHSEP), seeking employment as a Senior Project/Grant Manager with Sellers and Associates following the termination of her public employment with GOHSEP. However, Section 1121B(1) of the Code of

Governmental Ethics would prohibit Ms. Guidry, for a period of two years from the termination of her public service, from receiving any compensation for services rendered on any project in which she participated in while employed by GOHSEP.

Adopted an advisory opinion in Docket No. 15-846 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit David Poincon from accepting compensation from T. Baker Smith, LLC., a professional consulting firm, while serving as member of the Lafourche Parish Planning Commission at a time when T. Baker Smith, LLC is submitting plans for approval by the Lafourche Planning Board.

Allowed the withdrawal of a request for an advisory opinion in Docket No. 15-847 regarding whether a person who owns a business that conducts business with a gaming entity, such as a casino or racetrack, is eligible to run for public office, since the issue is moot.

Accepted for filing, a proposed disqualification plan in Docket No. 15-848 regarding Ellen D. Barrois, Plaquemines Parish Civil Service Director, supervising Katherine E. Johnson, Ms. Barrois' soon-to-be daughter-in-law, who is employed in her agency, the Plaquemines Parish Civil Service Commission, since the disqualification plan appears to satisfy the requirements set forth under Section 1112C of the Code of Governmental Ethics and the Rules for the Board of Ethics.

Adopted an advisory opinion in Docket No. 15-852 concluding that no violation of the Code of Governmental Ethics is presented by Luksamee Dyer opening a restaurant while she is employed as a sanitarian for the Department of Health and Hospitals (DHH), Region 1 Jefferson Health Unit, since the restaurant would be located in Region 3. However, Section 1112A of the Code of Governmental Ethics would prohibit Ms. Dyer from participating in transactions, through her position as a sanitarian for DHH, that involve matters in which she has a substantial economic

including the inspecting, permitting, and licensing of her restaurant. Further, Section 1113A(1)(a) of the Code of Governmental Ethics would prohibit Ms. Dyer from applying for a license, permit or entering into any other transaction directly with her agency, Region 1.

Adopted an advisory opinion in Docket No. 15-857 concluding that no violation of the Code of Governmental Ethics is presented by Donnie Perron, a member of the St. Landry Parish School Board, observing and evaluating teachers who are working for the St. Landry Parish School Board through his part-time employment as an adjunct professor with the University of Louisiana at Lafayette (ULL) and iTeach, since neither ULL nor iTeach has or is seeking to obtain a contractual, business, or financial relationship with the St. Landry Parish School Board. Furthermore, his observations and evaluations of teacher candidates are not submitted to or reviewed by the St. Landry Parish School Board.

Adopted an advisory opinion in Docket No. 15-859 concluding that Section 1113 of the Code of Governmental Ethics would prohibit Don Davis, the father-in-law of the Town Clerk for the Town of Rosepine, from bidding on projects and performing contracting work for the Town of Rosepine, since "immediate family", as defined in Section 1101(13) of the Code of Governmental Ethics, includes the parents of the public servant's spouse and, as the Town Clerk for the Town of Rosepine, Melissa Davis' agency is the Town of Rosepine.

Adopted an advisory opinion in Docket No. 15-860 concluding that no violation of the Code of Governmental Ethics is presented by Victoria Fogleman being appointed as a board member of the St. Landry Parish Fire District Number 7 while her husband, Chris Fogleman, serves as the Fire Chief for the District, since Mr. Fogleman has been employed with the District for over a year and meets the exception as provided for in Section 1119C(2) of the Code of Governmental Ethics. The

Board further advised that Section 1112B(1) of the Code of Governmental Ethics would require Ms. Fogleman to recuse herself from participating in a transaction with her governmental entity in which her husband, Chris Fogleman, has a substantial economic interest.

Adopted an advisory opinion in Docket No. 15-861 concluding that no violation of the Code of Governmental Ethics is presented by Faith Joseph being transferred to the position of Principal of L.W. Higgins High School while her husband, Isaac Joseph, is employed by the Jefferson Parish School Board as Superintendent, since Ms. Joseph has been employed by the Jefferson Parish School Board for twenty-six years. The Board further advised that Mr. Joseph is required to file a disclosure statement each year that his wife is employed by the Jefferson Parish School Board in any position. Finally, a disqualification plan that completely removes Mr. Joseph from any responsibility regarding his wife's promotion and/or employment must be submitted to the Board for approval.

Adopted an advisory opinion in Docket No. 15-862 concluding that no violation of the Code of Governmental Ethics is presented by Blaine Boudreaux, President of The Bank in Jennings, being appointed as a Board member for the Jefferson Davis Waterworks District #4 (District), since the exception in La. 39:1233.1 allows for Mr. Boudreaux to serve as a board member of the District so long as he (1) recuses himself from voting in favor of any such bank and does not otherwise participate in the depositing authority's consideration of any matter affecting actual or potential business with the bank, (2) discloses the reason for recusal and files these reasons, in writing, in the minutes or record of the District, and (3) files the disclosure form with the Board of Ethics within fifteen (15) days of any such recusal. The Board further advised that Section 1120.4 of the Code of Governmental Ethics would prohibit Mr. Boudreaux, as a board member, from participating in transactions in which The Bank has a substantial economic interest.

Adopted an advisory opinion in Docket No. 15-895 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Lorry Trotter from continuing to serve as the compensated Executive Director of the Retired State Employee's Association (RSEA) if she is appointed to the Louisiana State Employee's Retirement System (LASERS) Board of Directors, since there is a contractual and business relationship between the Association and LASERS.

Adopted an advisory opinion in Docket No. 15-774 concluding that Section 1113 of the Code of Governmental Ethics would prohibit The St. Bernard Parish Post from entering into transactions with St. Bernard Parish Government if Kenny Zulli is elected as Councilman, District D, in St. Bernard Parish while his wife is the sole owner of The St. Bernard Parish Post. The Board further advised that as long as The St. Bernard Parish Post does not accept advertisement from or have any other type of business, contractual or financial relationship with St. Bernard Parish Government, Mr. Zulli would not be prohibited from being employed by The St. Bernard Parish Post.

Adopted an advisory opinion in Docket No. 15-865 concluding that Section 1124.2.1 of the Code of Governmental Ethics would require members of boards and commissions that have the authority to expend, disburse, or invest more than \$50,000 of funds in a fiscal year and whose members are eligible to receive reimbursement of travel expenses for service on the board or commission to file their disclosures.

In connection with an Answer filed in Docket No. 15-810 by Willard L. Dumas, Jr., a former member of the Audubon Commission, in response to a notice of delinquency, instructed the staff to advise Mr. Dumas that he is required to file a 2014 Tier 2.1 annual personal financial disclosure statement.

Accepted for filing, the disclosure statements filed in Docket No. 15-923 for June, 2015.

Accepted for filing, the disclosure statements filed in Docket No. 15-924 for July, 2015.

The Board considered the following general business agenda items:

On motion made, seconded and unanimously passed, the Board approved the minutes of the August 21, 2015 meetings.

The Board considered a proposed consent opinion in Docket No. 13-457 regarding Lauren Dupas, the daughter of Sheila Dupas, a member of the Avoyelles Parish School Board, working for an architect, Jim Guillory, who does work for the school board. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which Lauren Dupas, daughter of Avoyelles Parish School Board Member Sheila Dupas, agrees that a violation of Section 1113A of the Code of Governmental Ethics occurred by entering into transactions that were under the supervision and jurisdiction of the Avoyelles Parish School Board at a time when her mother served as a member of the Avoyelles Parish School Board and a violation of Section 1114 of the Code of Governmental Ethics occurred by her failure to file annual financial disclosure statements with the Louisiana Board of Ethics disclosing income received from James Guillory Architects, LLC, at a time when James Guillory Architects, LLC had a contractual relationship and was in any way financially interested in transactions under the supervision and jurisdiction of the Avoyelles Parish School Board and in which Ms. Dupas agrees to pay a fine of \$1,500 and that in the event of a failure to pay, the Board may file suit to compel immediate payment of any balance due.

The Board considered a proposed consent opinion in Docket No. 14-1087 regarding Christina Stephens, a former employee of the Louisiana Housing Corporation, being employed by Covalent Logic at a time when the company had a contractual relationship with her agency. On motion made, seconded and unanimously passed, the Board adopted for publication the consent

opinion in which Christina Stephens agrees that a violation of Section 1111C(2)(d) of the Code of Governmental Ethic occurred by virtue of her receipt of \$9,683.65 from Covalent Logic for services rendered at a time when she was employed with the Louisiana Housing Corporation and at a time when Covalent Logic had a contractual or other business or financial relationship with the Louisiana Housing Corporation and in which Ms. Stephens agrees to pay a fine of \$2,000 and that in the event of a failure to pay, the Board may file suit to compel immediate payment of any balance due.

The Board considered a request for an advisory opinion in Docket No. 15-856 regarding whether the education model of Pathways in Education-New Orleans, Inc. (PIE-NO) and Pathways in Education-East Baton Rouge, Inc. (PIE-EBR) is in compliance with the Code of Governmental Ethics. On motion made, seconded and unanimously passed, the Board concluded that (1) as officers and operators of PIE-NO and PIE-EBR, which will be operating charter schools in Louisiana, the members of the Hall family will be performing a governmental function and, therefore, are public employees under the Code of Governmental Ethics. The Halls nor any entity in which they own a controlling interest will be entering into any contracts, subcontracts, or other transactions that are under the supervision or jurisdiction of PIE-EBR or PIE-NO. Further, all the contracts with the vendors will be entered into prior to PIE-NO and PIE-EBR entering into any charter contracts with the respective school boards. In addition, since none of the services rendered by the Halls to the vendors are compensated, no issue under R.S. 42:1111C(2)(d) is presented. The IT services may be donated as authorized by R.S. 42:1123(30).

The Board considered the proposed 2016 calendar for meetings of the Louisiana Board of Ethics. The Board unanimously agreed to review the proposed 2016 calendar and instructed the staff to return the item to the October agenda for discussion and adoption.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against candidates included in the Campaign Finance Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Campaign Finance Waiver Chart, excluding Docket Nos. 15-390, 15-649 and 15-867, taking the following action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 14-263 from D. Nicole Sheppard of a \$1,400 late fee;  
Docket No. 14-1553 from Bobby Doak of a \$1,020 late fee;  
Docket No. 15-243 from Gideon Carter, III of a \$2,500 late fee;  
Docket No. 15-292 from Robert Amacker, Jr. of a \$1,000 late fee, an \$80 late fee and a \$600 late fee;  
Docket No. 15-566 from Rickeem Jackson of a \$2,000 late fee; and,  
Docket No. 15-800 from Billy R. Wayne of two (2) \$1,000 late fees and a \$400 late fee.

The Board unanimously waived the late fees assessed against the following:

Docket No. 15-539 from Allen Ebarb of a \$1,000 late fee and a \$500 late fee;  
Docket No. 15-705 from Doris Alexander of a \$1,000 late fee, a \$400 late fee and a \$40 late fee; and,  
Docket No. 15-747 from Sherburne Sentell of a \$480 late fee.

The Board unanimously rescinded the late fees assessed against the following:

Docket No. 15-801 from John Bering of a \$600 late fee; and,  
Docket No. 15-802 from Peter Galvan of a \$2,000 late fee.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-388 for a waiver of the \$400 late fee and the \$280 late fee assessed against Allison Ferguson, a candidate for Alderman, Town of Mansura, Avoyelles Parish



in the November 4, 2014 election, for filing her EDE-P campaign finance disclosure report 19 days late and 10-G report 7 days late. On motion made, seconded and unanimously passed, the Board declined to waive the late fees totaling \$680 but suspended the entire amount conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-456 for a waiver of the \$2,000 late fee assessed against Donald Trahan, a candidate for State Representative/District 31, Lafayette Parish in the October 20, 2007 election, for filing his 2014 Supplemental campaign finance disclosure report 68 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,000 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-566 for a waiver of the \$600 late fee assessed against Rickeem Jackson, a candidate for Judge/Juvenile Court, Section 1A, East Baton Rouge Parish in the November 4, 2014 election, for filing his EDE-P campaign finance disclosure report 12 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$600 late fee but suspended \$400 conditioned upon future compliance with the Campaign Finance Disclosure Act. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-749 for a waiver of the \$600 late fee assessed against Hillery Godfred Johnson, a candidate for East Baton Rouge Metro Council, District 2 in the November 6, 2012 election, for filing his 2013 Supplemental campaign finance disclosure report 436 days late.

On motion made, seconded and unanimously passed, the Board declined to waive the \$600 late fee but suspended \$400 conditioned upon future compliance with the Campaign Finance Disclosure Act. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-753 for a waiver of the \$400 late fee assessed against Bernie Woods, Sr., a candidate for Shreveport City Council, District G, in the November 4, 2014 election, for filing his 2014 Supplemental campaign finance disclosure report 13 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee but suspended \$300 conditioned upon future compliance with the Campaign Finance Disclosure Act. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-794 for a waiver of the \$840 late fee assessed against Willie Brooks, Jr., a candidate for Denham Springs City Marshal in the November 4, 2014 election, for filing his 2014 Supplemental campaign finance disclosure report 14 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$840 late fee but suspended \$640 conditioned upon future compliance with the Campaign Finance Disclosure Act. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-795 for a waiver of the \$240 late fee assessed against Ray Marcantel, a candidate for Lake Arthur Chief of Police in the November 4, 2014 election, for filing his EDE-P campaign finance disclosure report 6 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$240 late fee but suspended the entire late fee

conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-798 for a waiver of the \$800 late fee assessed against Steve Myers, a candidate for Baton Rouge/East Baton Rouge Mayor-President in the November 6, 2012 election, for filing his 2014 Supplemental campaign finance disclosure report 8 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$800 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-799 for a waiver of the \$420 late fee assessed against Thomas Wesley Burns, a candidate for Judge 37th JDC in the November 4, 2014 election, for filing his 2014 Supplemental campaign finance disclosure report 7 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$420 late fee but suspended \$220 conditioned upon future compliance with the Campaign Finance Disclosure Act. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-800 for a waiver of the \$400 late fee assessed against Billy R. Wayne, a candidate for Shreveport City Council, District G in the November 4, 2014 election, for filing his EDE-P campaign finance disclosure report filed 52 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board

considered a request in Docket No. 15-839 for a waiver of the \$420 late fee assessed against Brodney Mouton, Sr., a candidate for Kaplan City Marshal in the November 4, 2014 election, for filing his 10-G campaign finance disclosure report 7 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$420 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-390 for a waiver of the two (2) \$2,000 late fees assessed against Daniel Williams, a candidate for State Representative, District 26 in the February 21, 2015 election, for failure to file his 30-P and 10-P campaign finance disclosure reports. On motion made, seconded and unanimously passed, the Board declined to waive the late fees totaling \$4,000. Board Member Michiels recused herself.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-649 for a waiver of the \$2,311 late fee assessed against Jacqueline Carroll-Gilds, a candidate for Juvenile Court Judge, Section E, Orleans Parish, in the November 4, 2014 election, for filing her 10-G campaign finance disclosure report 28 days late. On motion made, seconded and unanimously passed, the Board agreed to allow Ms. Carroll-Gilds' request to withdraw the waiver request and agreed to establish a payment plan for Ms. Carroll-Gilds.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-867 for a waiver of the \$400 late fee and the \$360 late fee assessed against Kiplyn Andrews, a candidate for Hammond City Council, District 1 in the November 4, 2014 election, for filing his EDE-P campaign finance disclosure report 21 days late and his 10-G report campaign finance disclosure report 40 days late. On motion made, seconded

and unanimously passed, the Board declined to waive the late fees totaling \$760.

The Board considered a request in Docket No. 15-404 for a waiver of the \$1,500 and the \$600 late fees assessed against lobbyist Barbara Simpson for failure to timely file her January and February 2015 Expenditure reports. On motion made, seconded and unanimously passed, the Board declined to waive the late fees totaling \$2,100.

The Board considered a request in Docket No. 15-834 for a waiver of the \$1,500 late fee assessed against lobbyist Elizabeth McCain for failure to timely file a Supplemental Registration Report. On motion made, seconded and unanimously passed, the Board deferred the matter.

The Board considered a request in Docket No. 15-844 for a waiver of the \$250 late fee assessed against lobbyist Karla Loeb for failure to timely file an Expenditure Report. On motion made, seconded and unanimously passed, the Board declined to waive the \$250 late fee.

The Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against individuals contained in the Personal Financial Disclosure Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Personal Financial Disclosure Waiver Chart, excluding Docket Nos. 15-808, 15-809 and 15-812, taking the following action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 15-804 from Stephen Cagle of a \$1,500 late fee;  
Docket No. 15-807 from Innis Addison of a \$2,500 late fee; and,  
Docket No. 15-811 from J.H. Racca, Jr. of a \$1,500 late fee.

The Board unanimously waived the late fees assessed against the following:

Docket No. 15-348 from Hayden Paul of a \$700 late fee;

Docket No. 15-805 from Arthur Busby of a \$1,500 late fee; and,  
Docket No. 15-819 from Cindy Manasco of a \$900 late fee.

The Board considered a request in Docket No. 15-348 for a waiver of the \$2,500 late fee assessed against Hayden A. Paul, Rapides Parish Constable - Ward 11, for filing his 2012 Tier 2 Annual personal financial disclosure statement 367 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,500 late fee but suspended \$1,500 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board considered a request in Docket No. 15-806 for a waiver of the \$1,500 late fee assessed against Phyllis Wallace Broudreux, Lake Bulland Homeowners Association/Improvement District, for filing her 2013 Tier 2.1 Annual personal financial disclosure statement 45 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,250 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board considered a request in Docket No. 15-813 for a waiver of the \$1,500 late fee assessed against James Preston Mayo Sr., Tensas Levee Board, for filing his amended 2012 Tier 2.1 Annual personal financial disclosure statement 153 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 15-814 for a waiver of the \$600 late fee

assessed against Helen Denise Martin, a former member of the Livingston Parish Convention and Visitors Bureau, for filing her 2013 Tier 2.1 Annual personal financial disclosure statement 12 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$600 late fee but suspended \$350 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board considered a request in Docket No. 15-815 for a waiver of the \$150 late fee assessed against Vincent Ilustre, a former member of the Louisiana Serve Commission, for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 3 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$150 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 15-816 for a waiver of the \$1,500 late fee assessed against Kristen Sienna Carter "Krissy" Jolly, candidate for Clarks Board of Aldermen, Caldwell Parish in the November 4, 2014 election, for filing her amended 2013 Tier 3 Candidate personal financial disclosure statement 82 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 15-817 for a waiver of the \$2,500 late fee assessed against Charles W. Ross, St. Landry Parish School Board, District 2, for filing his amended 2013 Tier 2 Annual personal financial disclosure statement 190 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,500 late fee but suspended \$2,000 conditioned upon future compliance with the Code of Governmental Ethics and provided

payment is made within 30 days. If the payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board considered a request in Docket No. 15-818 for a waiver of the \$950 late fee assessed against Donald Edward Havard, Jackson Board of Trustees, for filing his 2013 Tier 3 Annual personal financial disclosure statement 19 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$950 late fee but suspended \$700 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board considered a request in Docket No. 15-808 for a waiver of the \$2,500 late fee assessed against Jerome P. "Dee" Richard, Candidate for Lafourche Parish Assessor in the November 4, 2014 election, for failure to file his 2013 Tier 2 Candidate personal financial disclosure statement. On motion made, seconded and unanimously passed, the Board deferred the matter to the October meeting.

The Board considered a request in Docket No. 15-809 for a waiver of the \$800 late fee assessed against Ema Haq, Lafayette Convention and Visitors Commission, for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 16 days late. On motion made, seconded and unanimously passed, the Board deferred the matter to the October meeting.

The Board considered a request in Docket No. 15-812 for a waiver of the \$500 late fee assessed against Arthur M. Murray, Garden District Security District, for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 10 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$500 late fee but suspended \$400 conditioned



upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board unanimously agreed to take action on waiver request reconsiderations en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the waiver request reconsiderations taking the following action:

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request for reconsideration in Docket No. 14-300 for a waiver of the \$600, \$720 and \$360 late fees assessed against David A. Capasso, a candidate for City Council, District A, Orleans Parish in the February 1, 2014 election, for filing his 30-P, 10-P and EDE-P campaign finance disclosure reports filed 32, 12 and 6 days late. On motion made, seconded and unanimously passed, the Board declined to waive the late fees totaling \$960 in connection with the 30-P and the EDE-P campaign finance reports but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act and declined to waive the \$720 late fee in connection with the 10-P campaign finance disclosure report.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request for reconsideration in Docket No. 15-289 for a waiver of the \$400 late fee assessed against Bobby Joe Cooper, a candidate for Councilman/District A, City of Shreveport, Bossier & Caddo Parish in the November 4, 2014 election, for filing his 10-P campaign finance disclosure report 18 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee but suspended the entire late fee conditioned upon future

compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request for reconsideration in Docket No. 15-379 for a waiver of the \$600 late fee assessed against Phil Capitano, a candidate for Mayor, City of Kenner, Jefferson Parish in the March 27, 2010 election, for filing his 2014 Supplemental campaign finance disclosure report 16 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$600 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

The Board unanimously resolved into executive business session.

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**EXECUTIVE BUSINESS**

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The Board unanimously resolved into general business session.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered litigation in Docket No. 13-752 involving Steve Hunter, a candidate for Mayor of Ridgewood, Ouachita Parish, in the March 24, 2012 election, failing to file a 2012 Supplemental campaign finance disclosure report. On motion made, seconded and unanimously passed, the Board dismissed the charges against Mr. Hunter and rescinded the \$1,000 late fee, since Mr. Hunter had filed the required 2012 Supplemental campaign finance disclosure report.

The Board unanimously adjourned at 11:07 a.m.

Secretary

APPROVED:

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Chairman

