

LOUISIANA BOARD OF ETHICS
MINUTES
December 18, 2014

The Board of Ethics met on December 18, 2014 at 1:00 p.m. in the Griffon Room on the 1st floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Backhaus, Blewer, Bruneau, Ingrassia, Larzelere, Leggio, McAnelly, Michiels , Monroe and Shelton present. Absent was Board Member Lemke. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, Mike Dupree, Jennifer Land, Suzanne Mooney, Brett Robinson and Haley Williams.

The Board unanimously resolved into executive session to consider complaints and reports deemed confidential pursuant to Section 1141 of the Code of Governmental Ethics.

* * * * *

EXECUTIVE BUSINESS

* * * * *

The Board unanimously resolved into general business session and recessed at 3:08 p.m.

The Board resumed back into general business session at 3:25 p.m. without Ms. Shelton.

On motion made, seconded and unanimously passed, the Board agreed to add discussion of the 2015 proposed legislation to the general agenda.

Ms. Allen provided an overview of the proposed suggestions by the staff to the laws administered by the Louisiana Board of Ethics.

Ms. Allen advised the Board that Board Member Lemke's term would expire at the end of December, 2014 and he does not wish to be reappointed to the Board due to the demands of his position as Provost at the Baptist Theological Seminary. The Board instructed the staff to prepare

a resolution for Dr. Lemke in recognition of his service as a member of the Louisiana Board of Ethics.

The Board unanimously adjourned at 4:18 p.m.

Secretary

APPROVED:

Chairman

LOUISIANA BOARD OF ETHICS
MINUTES
December 19, 2014

The Board of Ethics met on December 19, 2014 at 9:00 a.m. in the LaBelle Room on the 1st floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Backhaus, Blewer, Bruneau, Ingrassia, Larzelere, Leggio, Lemke, McAnelly, Michiels, Monroe and Shelton present. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, Mike Dupree, Jennifer Land, Suzanne Mooney, Brett Robinson and Haley Williams.

The Board considered a request in Docket No. 14-912 for a waiver of the \$1,500 late fee assessed against Tavis Piattoly, a member of the Board of Examiners in Dietetics and Nutrition, for filing his 2011 Tier 2.1 Annual personal financial disclosure statement 263 days late. On motion made, seconded and unanimously passed, the Board continued the matter.

The Board considered a request for reconsideration in Docket No. 14-916 for a waiver of the \$1,500 late fee assessed against Shirley Ann Jordan, a member of the West Baton Rouge Museum Board, for filing her 2011 Tier 2.1 Annual personal financial disclosure statement 317 days late. On motion made, seconded and unanimously passed, the Board continued the matter.

The Board considered a request in Docket No. 14-918 for a waiver of the \$1,500 late fee assessed against Arthur Champ, Jr., a member of the St. Martinville City Council, for filing his 2012 Tier 3 Annual personal financial disclosure statement 202 days late. On motion made, seconded and unanimously passed, the Board continued the matter.

Mr. Raymond S. Childress, a candidate for District Judge, 22nd JDC, St. Tammany Parish, in the November 4, 2014 election, appeared before the Board, in its capacity as the Supervisory

Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 14-1061 for a waiver of the \$2,500 late fee assessed against him for filing his 2013 Annual Report 179 days late. After hearing from Mr. Childress, on motion made, seconded and unanimously passed, the Board declined to waive the \$2,500 late fee.

Ms. Melba Creech, a member of the Eros Board of Aldermen, Jackson Parish, appeared before the Board in connection with a request in Docket No. 14-1116 for a waiver of the \$1,500 late fee assessed against her for filing her 2012 Tier 3 Annual personal financial disclosure statement 216 days late. After hearing from Ms. Creech, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered an untimely request in Docket No. 14-1174 for a waiver of the two (2) \$1,500 late fees assessed against Renard Southall, a member of the Napoleonville Board of Aldermen, Assumption Parish, for filing his 2010 Tier 3 Annual personal financial disclosure statement 472 days late and his 2011 Tier 3 Annual personal financial disclosure statement 197 days late. On motion made, seconded and unanimously passed, the Board continued the matter.

The Board considered a request in Docket No. 14-1180 for a waiver of the \$1,500 late fee assessed against Beth Babin, a former member of the New Orleans Workforce Investment Board, for filing her 2011 Tier 2.1 Annual personal financial disclosure statement 328 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days.

Representative Robert Shadoin appeared before the Board on behalf of Pamela Durrett, a

member of the Simsboro Board of Aldermen, Lincoln Parish, in connection with a request in Docket No. 14-1186 for a waiver of the \$1,500 late fee assessed against Ms. Durrett for filing her 2011 Tier 3 Annual personal financial disclosure statement 320 days late. After hearing from Representative Shadoin, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,300 conditioned upon future compliance with the Code of Governmental Ethics and with the option of a payment plan.

Ms. Carolyn Bergeron, a member of the East Baton Rouge Parish Workforce Investment Board, and her attorney, Representative Alfred Williams, appeared before the Board in connection with a request in Docket No. 14-1249 for a waiver of the \$1,500 late fee assessed against Ms. Bergeron for filing her 2011 Tier 2.1 Annual personal financial disclosure statement 338 days late. After hearing from Ms. Bergeron and Representative Williams, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

Mr. Sanders Derise, a former member of the Jeanerette Board of Aldermen, Iberia Parish, appeared before the Board in connection with a request in Docket No. 14-1264 for a waiver of the \$1,500 late fee assessed against him for filing his 2012 Tier 3 Annual personal financial disclosure statement 197 days late. After hearing from Mr. Derise, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics and with the option of a payment plan.

Mr. Marvin Oldham, Jr., a former member of the Mer Rouge Board of Aldermen, Morehouse Parish, appeared before the Board in connection with a request in Docket No. 14-1297 for a waiver of the \$1,500 late fee assessed against him for filing his 2012 Tier 3 Annual personal financial

disclosure statement 301 days late. After hearing from Mr. Oldham, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days.

Mr. Ira Thomas, a candidate for Orleans Parish Sheriff, in the February 1, 2014 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 14-1299 for a waiver of the \$2,500, \$2,500 and \$400 late fees assessed against him for filing his 180-P, 90-P and EDE-P reports 170, 79 and 4 days late. After hearing from Mr. Thomas, on motion made, seconded and unanimously passed, the Board declined to waive the late fees totaling \$5,400 but suspended \$2,500 conditioned upon future compliance with the Campaign Finance Disclosure Act and with the option of a payment plan.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-1372 for a waiver of the \$900 late fee assessed against George C. Metoyer, Jr., a candidate for District Judge, 9th JDC, Rapides Parish in the November 4, 2014 election, for filing his 30-P campaign finance disclosure report 15 days late. On motion made, seconded and unanimously passed, the Board deferred the matter to the January meeting.

Mr. Jerry Frederick a candidate for City Marshal, City Court, City of Breaux, St. Martin Parish in the November 4, 2014 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 14-1345 for a waiver of the \$240 late fee assessed against him for filing his 30-P report 4 days late. After hearing from Mr. Frederick, on motion made, seconded and unanimously passed, the Board declined to waive the \$240 late fee but suspended the entire late fee conditioned upon future

compliance with the Campaign Finance Disclosure Act.

Mr. William T. "Will" Kleinpeter, a candidate for City Judge, City Court, City of Port Allen, West Baton Rouge Parish in the November 4, 2014 Election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 14-1379 for a waiver of the \$480 late fee assessed against him for filing his 30-P campaign finance disclosure report 8 days late. After hearing from Mr. Kleinpeter, on motion made, seconded and unanimously passed, the Board declined to waive the \$480 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act. Board Member McAnelly recused himself.

The Board recessed at 10:00 a.m. and resumed back into general business session at 10:09 a.m.

On motion made, seconded and unanimously passed, the Board adopted the following general consent agenda items:

On motion made, seconded and unanimously passed, the Board agreed to take action on items G16-G24 en globo subject to any items being removed from the en globo listing for further discussion. On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G16-G24, excluding item G22, taking the following action:

Adopted an advisory opinion in Docket No. 14-1314 concluding that (1) Section 1111C(2)(d) of the Code of Governmental Ethics prohibits Scott Byrd, a member of the Zachary Taylor Parkway Commission, from receiving a thing of economic value for services rendered to a person, BBI Architects, who has or is seeking to have a contractual, financial, or business relationship with his agency, the Zachary Taylor Parkway Commission; (2) Section 1113B of the Code of Governmental

Ethics prohibits Mr. Byrd, while serving as a member of the Zachary Taylor Parkway Commission, from working on any response to the RFP issued by the Zachary Taylor Parkway Commission or any subsequent project awarded by the Zachary Taylor Parkway Commission on behalf of BBI Architects; and, (3) Section 1112B(3) of the Code of Governmental Ethics would prohibit Mr. Byrd, in his capacity as a member of the Zachary Taylor Parkway Commission, from participating in any transaction involving the Commission and BBI Architects in which BBI Architects has a substantial economic interest.

Adopted an advisory opinion in Docket No. 14-1315 concluding that no violation of the Code of Governmental Ethics is presented by Tyler Turner continuing his employment with the Ascension Parish Assessor's Office if he is elected as a member of the Gonzales City Council. The Board further suggested that Mr. Turner contact the Attorney General's Office regarding the application of the dual office holding laws to his situation.

Adopted an advisory opinion in Docket No. 14-1322 concluding that no violation of the Code of Governmental Ethics is presented by George Meyer, the former Chief Investment Officer for the Louisiana School Employees' Retirement System, accepting employment with FFC Capital, provided he does not assist FFC Capital in any transaction involving LSERS prior to December 3, 2015, since Mr. Meyer was an agency head as CIO of LSERS until December 2, 2013. In addition, for the same time period, FFC Capital would be prohibited from assisting its clients, for compensation, in transactions that Mr. Meyer at any time participated while he was an employee of LSERS.

Adopted an advisory opinion in Docket No. 14-1323 concluding that no violation of the Code of Governmental Ethics is presented by Marvin Frazier, Chief of Police for the Town of Zwolle, being employed with the Zwolle Police Department after his term ends on December 31, 2014. The Board

further concluded that Section 1121A of the Code of Governmental Ethics would prohibit Mr. Frazier from rendering services on a contractual basis to the Zwolle Police Department for a period of two years following the end of his term in office.

Declined to render an advisory opinion in Docket No. 14-1325 regarding Yolanda Martin, deputy general counsel for the Department of Wildlife and Fisheries (DWF), serving as an instructor at Southern University and A&M College (Southern) teaching Real Estate Transactions while being employed by DFW, since this matter pertains to the laws of dual office holding which is under the jurisdiction of the Attorney General. The Board further instructed the staff to refer Ms. Martin to the Attorney General's Office for guidance.

Adopted an advisory opinion in Docket No. 14-1354 concluding that no violation of the Code of Governmental Ethics is presented by Kelton Noce, a state liaison with the Governor's Office of Homeland Security and Emergency Preparedness (GOHSEP), accepting employment with GRC, since the services Mr. Noce plans to render through GRC are not the same services he renders through his employment with GOHSEP. The Board further advised that Section 1112B(1) of the Code of Governmental Ethics would prohibit Mr. Noce from receiving compensation for two years following the termination of his employment with GOHSEP for assisting another person in any transaction in which he participated while employed with GOHSEP.

Adopted an advisory opinion in Docket No. 14-1358 concluding that no violation of the Code of Governmental Ethics is presented by the City of Mandeville adding First National Bank of Commerce (FNBC) as a fiscal agent while Frank J. Oliveri, III, a former employee of FNBC, serves as the City's Director of Finance nor does Section 1111C(2)(d) of the Code of Governmental Ethics prohibit Mr. Oliveri's receipt of retirement benefits from his 409A retirement plan with FNBC. In

addition, no violation of the Code of Governmental Ethics is presented by the Cotton Exchange Group L.L.C. receiving lease payments from FNBC as Mr. Oliveri is only a 2% owner and does not exercise control of the entity.

Adopted an advisory opinion in Docket No. 14-1390 concluding that no violation of the Code of Governmental Ethics would be presented by Kevin Veillon applying for the position of Executive Director of the Evangeline Parish Solid Waste Disposal Commission while he is a member of the Evangeline Parish Police Jury, since the Commission is a separate political entity.

Adopted an advisory opinion in Docket No. 14-1145 concluding that no violation of the Code of Governmental Ethics is presented by Michael Williams, a member of the Caddo Parish Council, serving as an uncompensated member of Swag Nation's Board of Directors or serving on or chairing the Juvenile Justice Committee, since Swag Nation is a nonprofit public service organization and Commissioner Williams would not be prohibited from participating as a member of the Caddo Parish Commission or Juvenile Justice Committee in transactions in which Swag Nation has a substantial economic interest, provided that he is not compensated for his service on Swag Nation's Board of Directors.

Adopted an advisory opinion in Docket No. 14-1313 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit an employee of the Shreveport Memorial Library from serving and being compensated as a coordinator or facilitator for the Prime Time program, since the Louisiana Endowment for the Humanities (LEH), by allowing the Prime Time program to be conducted on the premises, has a business or financial relationship with the SML.

Allowed the withdrawal of a request for an advisory opinion in Docket No. 14-1356 regarding whether Barraza Construction, LLC, wholly owned by the spouse of Stacy Barraza, may lease a

parish owned building from the Tensas Parish Police Jury while Stacy Barraza is employed by the police jury.

The Board considered the following general business agenda items:

On motion made, seconded and unanimously passed, the Board approved the minutes of the November 20-21, 2014 meetings.

The Board considered a proposed consent opinion in Docket No. 07-800 regarding Salvador Petitto, the brother of former Tangipahoa Parish Councilman Michael Petitto, entering into a transaction with the Tangipahoa Parish Council for the application for tax credits from the Louisiana Housing Finance Agency. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which Salvador Petitto agrees that a violation of Section 1113A of the Code of Governmental Ethics occurred by entering into transactions that were under the supervision or jurisdiction of the Tangipahoa Parish Council while his brother, Michael Petitto, served as a member of the Tangipahoa Parish Council and in which Salvador Petitto agrees to pay a fine of \$1,000 which, in the event of a failure to pay, the Board may file suit to compel immediate payment of any balance due.

The Board considered a proposed consent opinion in Docket No. 12-1930 regarding Katherine Mixon, an alderman for the Town of Gibsland, participating as a member of the board of alderman in settling a case against the Town of Gibsland in which her sister had an economic interest. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which Katherine Mixon agrees that a violation of Section 1112B(1) of the Code of Governmental Ethics occurred by her vote, in her capacity as an alderman for the Town of Gibsland, to recommend to the Mayor of the Town of Gibsland that the lawsuit filed by her sister, Mary

Rochelle, against the Town of Gibsland be settled and in which Ms. Mixon agrees to pay a fine of \$250 in accordance with a schedule of payments and that, in the event of a failure to pay any installment in said schedule, the Board may file suit to compel immediate payment of the balance due.

The Board considered a proposed consent opinion in Docket No. 13-471 regarding Desmond Sprawls, a former member of the Shreveport/Caddo Parish Metropolitan Planning Commission (MPC), performing services for an engineering company, BBC Engineering, Inc., who had performed engineering services for persons who were appearing before the MPC for approval. On motion made, seconded and passed, the Board adopted for publication the consent opinion in which Desmond Sprawls, a former member of the Shreveport/Caddo Parish Metropolitan Planning Commission (MPC), agrees that a violation of Section 1112 of the Code of Governmental Ethics occurred by his participation in the Castellano Real Estate, LLC rezoning application and site plans for the Ellerbe Road office park which was before the MPC for approval at the December 5, 2012, September 5, 2012, January 2, 2013 and February 6, 2013 meetings and in which Mr. Sprawls agrees to pay a fine of \$1,000.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against the following candidates included in the Campaign Finance Waiver Chart:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 14-1341 from Marion Bonura of a \$180 late fee;
Docket No. 14-1342 from Brigid Collins of a \$300 late fee;
Docket No. 14-1368 from Bonnie Jackson of a \$240 late fee;
Docket No. 14-1369 from Reginald T. Badeaux of a \$300 late fee; and,
Docket No. 14-1381 from Geraldine Broussard Balony of a \$420 late fee.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board

considered a request in Docket No. 14-1340 for a waiver of the \$240 late fee assessed against Jennifer Andrews, a candidate for East Baton Rouge Parish School Board, District 1 in the November 4, 2014 election, for filing her 30-P campaign finance disclosure report 4 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$240 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-1343 for a waiver of the \$180 late fee assessed against David J. McCoy Jr., a candidate for City Marshal, City Court, City of Franklin, St. Mary Parish in the November 4, 2014 election, for filing his 30-P campaign finance disclosure report 3 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$180 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-1366 for a waiver of the \$240 late fee assessed against Kevin Stafford, a candidate for City Marshal, City Court, City of Natchitoches, Natchitoches Parish in the November 4, 2014 election, for filing his 30-P campaign finance disclosure report 4 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$240 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-1367 for a waiver of the \$480 late fee assessed against Mary Lauve Doggett, a candidate for District Judge, 9th JDC, Rapides Parish in the November 4, 2014

election, for filing her 30-P campaign finance disclosure report 8 days late. On motion made, seconded and passed by a vote of 7 yeas by Board Members Backhaus, Bruneau, Larzelere, Lemke, McAnelly, Monroe and Shelton and 3 nays by Board Members Blewer, Ingrassia and Leggio, the Board declined to waive the \$480 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act. Board Member Michiels recused herself.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-1370 for a waiver of the \$400 late fee assessed against Susan Benton, a candidate for Councilman, City of Harahan, Jefferson Parish in the November 4, 2014 election, for filing her 30-P campaign finance disclosure report 10 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-1371 for a waiver of the \$540 late fee assessed against Kenyetta Nelson-Smith, a candidate for East Baton Rouge Parish School Board, District 3, in the November 4, 2014 election, for filing her 30-P campaign finance disclosure report 9 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$540 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-1376 for a waiver of the \$60 late fee assessed against Glenn Fallin, a candidate for District Judge, 2nd JDC, Bienville Parish in the November 4, 2014 election, for filing his 30-P campaign finance disclosure report 1 day late. On motion made, seconded and

passed by a vote of 8 yeas by Board Members Bruneau, Ingrassia, Larzelere, Leggio, Lemke, McAnelly, Michiels and Shelton and 2 nays by Board Members Backhaus and Monroe, the Board declined to waive the \$60 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act. Board Member Blewer recused herself.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-1378 for a waiver of the \$1,020 late fee assessed against Russell Saluto, a candidate for Justice of the Peace, 2nd Justice Court, Jefferson Parish in the November 4, 2014 election, for filing his 30-P campaign finance disclosure report 17 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,020 late fee but suspended \$780 conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-1381 for a waiver of the \$120 late fee assessed against Geraldine "Geri" Broussard Baloney, a candidate for District Attorney, 40th JDC, St. John the Baptist Parish in the November 4, 2014 election, for filing her 30-P campaign finance disclosure report 2 days late. On motion made, seconded and unanimously passed, the Board waived the \$120 late fee.

The Board unanimously agreed to take action on the items contained in the Personal Financial Disclosure Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion. On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Personal Financial Disclosure Waiver Chart, excluding Docket Nos. 14-565, 14-1179, 14-1181, 14-1187, 14-1250, 14-1252, 14-1262 and 14-1263, taking the following action:

The Board considered requests for “good cause” waivers of late fees assessed against the following individuals and adopted the staff recommendations on the requests:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 14-1254 from Jimmy Laurent, Sr. of a \$1,500 late fee;
Docket No. 14-1255 from Regina Bracy of a \$1,500 late fee;
Docket No. 14-1258 from James Deshotel of a \$1,500 late fee;
Docket No. 14-1259 from Keith Thompson of a \$1,500 late fee; and,
Docket No. 14-1261 from Carl Williams of two \$1,500 late fees.

The Board considered a request in Docket No. 14-1118 for a waiver of the \$1,500 late fee assessed against Ronald Habetz, a former member of the Louisiana Rice Promotion Board, for filing his 2011 Tier 2.1 Annual personal financial disclosure statement 324 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics and provided the \$500 is payable within 30 days. If payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board considered a request in Docket No. 14-1176 for a waiver of the \$1,500 late fee assessed against Clarice Kinney, a member of the Workforce Investment Board, for filing her amended 2009 Tier 2.1 Annual personal financial disclosure statement 465 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics and provided the \$500 is payable within 30 days. If payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board considered a request in Docket No. 14-1260 for a waiver of the \$1,500 late fee assessed against Leonard Johnson, a former member of the Vernon Parish Police Jury, for filing his

2012 Tier 3 Annual personal financial disclosure statement 211 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics and provided the \$500 is payable within 30 days. If payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board considered a request in Docket No. 14-1265 for a waiver of the \$2,500 late fee assessed against James Byrd, an Alexandria City Court Marshal, Rapides Parish, for filing his 2011 Tier 2 Annual personal financial disclosure statement 204 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 14-1183 for a waiver of the \$1,500 late fee assessed against Myrtle Cook, a former member of the Kentwood Town Council, Tangipahoa Parish, for filing her 2011 Tier 3 Annual personal financial disclosure statement 272 days late. On motion made, seconded and unanimously passed, the Board rescinded the \$1,500 late fee.

The Board considered a request in Docket No. 14-565 for a waiver of the \$1,500 late fee assessed against Stephen Cagle, a member of the Bayou D'Arbonne Lake Watershed District, for filing his 2012 Tier 2.1 Annual personal financial disclosure statement 34 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee.

The Board considered a request in Docket No. 14-1179 for a waiver of the \$1,500 late fee assessed against Joseph Devillier, a former member of the Acadiana Area Human Services District, for filing his 2012 Tier 2.1 Annual personal financial disclosure statement 66 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but

suspended \$1,250 conditioned upon future compliance with the Code of Governmental Ethics and with the option of a payment plan.

The Board considered a request in Docket No. 14-1181 for a waiver of the \$2,500 late fee assessed against Reginald Brown, Sr., an East Baton Rouge Parish City Court Constable, for filing his 2012 Tier 2 Annual personal financial disclosure statement 221 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,500 late fee. Board Member McAnelly recused himself.

The Board considered a request in Docket No. 14-1187 for a waiver of the \$50 late fee assessed against Dr. Mary Elizabeth Christian, a former member of the Developmental Disabilities Council, for filing her 2012 Tier 2.1 Annual personal financial disclosure statement 1 day late. On motion made, seconded and unanimously passed, the Board declined to waive the \$50 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 14-1250 for a waiver of the \$1,500 late fee assessed against John Edens, a member of the Webster Parish School Board, for filing his 2011 Tier 3 Annual personal financial disclosure statement 310 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics and provided the \$500 is payable within 30 days. If payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board considered a request in Docket No. 14-1252 for a waiver of the two (2) \$1,500 late fees assessed against Lennis Elston, a member of the Caddo Parish Fire Protection District, for

filing his 2011 Tier 2.1 Annual personal financial disclosure statement 325 days late and his 2012 Tier 2.1 Annual personal financial disclosure statement 125 days late. On motion made, seconded and unanimously passed, the Board continued the matter.

The Board considered a request in Docket No. 14-1262 for a waiver of the \$1,500 late fee assessed against Charlotte Parent, a member of the Orleans Parish Communications District, for filing her amended 2012 Tier 2.1 Annual personal financial disclosure statement 95 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 14-1263 for a waiver of the \$1,500 late fee assessed against Theresa Wyatt, a member of the Lincoln Parish Police Jury, for filing her 2011 Tier 3 Annual personal financial disclosure statement 305 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

On motion made, seconded and unanimously passed, the Board agreed to take action on the items in G31 en globo subject to any items being removed from the en globo listing for further discussion. On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in G31, excluding Docket Nos. 14-1170 and 14-1171, taking the following action:

The Board considered an untimely second request in Docket No. 13-1800 for reconsideration a waiver of \$1,500 late fee assessed against Irwin Charles Hopkins, a Pointe Coupee Parish Constable, District 2, for filing his 2011 Tier 3 Annual personal financial disclosure statement 192 days

late. On motion made, seconded and unanimously passed, the Board affirmed its prior decision to decline to waive the \$1,500 late fee with the option of a payment plan.

The Board considered an untimely request in Docket No. 14-1172 for a waiver of the \$1,500 late fee assessed against Robert Shoemaker, Mayor of the Town of Spearsville, Union Parish, for filing his 2011 Tier 3 Annual personal financial disclosure statement 275 days late. On motion made, seconded and unanimously passed, the Board instructed the staff to advise Mr. Shoemaker that if supporting documentation for the financial hardship is submitted, the Board will decline to waive the \$1,500 late fee but will suspend \$1,000 conditioned upon future compliance with the Code of Governmental Ethics and which is payable within 30 days. The Board further directed the staff that if no supporting documentation is received by the Board meeting, the request to waive would be declined.

The Board considered an untimely request in Docket No. 14-1173 for a waiver of the \$350 late fee assessed against Charles Collins, a candidate for the Cheneyville Board of Aldermen, Rapides Parish, in the April, 6, 2013 election, for filing his 2012 Tier 3 Candidate personal financial disclosure statement 7 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$350 late fee.

The Board considered a request in Docket No. 14-1175 for a waiver of the \$2,500 late fee assessed against Clarence Sharp, a member of the Monroe City School Board, Ouachita Parish, for filing his 2011 Tier 2 Annual personal financial disclosure statement 295 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,500 late fee but suspended \$1,500 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days.

The Board considered an untimely request in Docket No. 14-1178 for a waiver of the \$1,500 late fee assessed Stoney Broussard, Gueydan Police Chief, Vermilion Parish, for filing his 2012 Tier 3 Annual personal financial disclosure statement 171 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee.

The Board considered an untimely request in Docket No. 14-1246 for a waiver of the \$1,500 late fee assessed Charles Dukes, a member of the Forest Board of Aldermen, West Carroll Parish, for filing his 2012 Tier 3 Annual personal financial disclosure statement 190 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee.

The Board considered an untimely request in Docket No. 14-1247 for a waiver of the \$1,500 and the \$1,250 late fees assessed against Simmie Brown, East Hodge Chief of Police, Jackson Parish, for filing his 2010 Tier 3 Annual personal financial disclosure statement 354 days late and his 2011 Tier 3 Annual personal financial disclosure statement 25 days late. On motion made, seconded and unanimously passed, the Board declined to waive the late fees totaling \$2,750.

The Board considered an untimely request in Docket No. 14-1170 for a waiver of the \$350 late fee assessed against Ronnie Banks, an unsuccessful candidate for the Sulphur City Council, Calcasieu Parish in the April 5, 2014 election, for filing his 2013 Tier 3 Candidate personal financial disclosure statement 7 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$350 late fee with the option of a payment plan.

The Board considered an untimely request in Docket No. 14-1171 for a waiver of the \$1,500 late fee assessed against Magaline Quarles, a member of the Minden City Council, Webster Parish, for filing her 2012 Tier 3 Annual personal financial disclosure statement 243 days late. On motion made, seconded and unanimously passed, the Board instructed the staff to advise Ms. Quarles that

if supporting documentation for the financial hardship is submitted, the Board will decline to waive the \$1,500 late fee but will suspend \$1,000 conditioned upon future compliance with the Code of Governmental Ethics with the option of a payment plan. The Board further directed the staff that if no supporting documentation is received by the Board meeting, the request to waive would be declined.

On motion made, seconded and unanimously passed, the Board agreed to take action on the items in G32 en globo subject to any items being removed from the en globo listing for further discussion. On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in G32, excluding Docket No. 14-569, taking the following action:

The Board considered a second request in Docket No. 13-1370 for reconsideration of a waiver of the two (2) \$1,500 late fees assessed against Keith C. Johnson, a member of Ringgold Town Council, Bienville Parish, for filing his 2010 Tier 3 Annual personal financial disclosure statement 480 days late and his 2011 Tier 3 Annual personal financial disclosure statement 245 days late. On motion made, seconded and unanimously passed, the Board affirmed its prior decision in which it (1) declined to waive the \$1,500 late fee with respect to the 2010 Tier 3 Annual personal financial disclosure statement but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics and payable within 30 days unless other payment arrangements are entered into within 30 days. Failure to pay within 30 days or enter into a payment within 30 days will result in the full amount becoming due and owing; and, (2) declined to waive the \$1,500 late fee with respect to the 2011 Tier 3 Annual personal financial disclosure statement with the option of a payment plan.

The Board considered a request in Docket No. 13-1799 for reconsideration of a waiver of the

\$1,500 late fee assessed against Gus May, a former member of the Workforce Investment Board, #83, for filing his 2009 Tier 2.1 Annual personal financial disclosure statement 147 days late. On motion made, seconded and unanimously passed, the Board affirmed its prior decision in which it declined to waive the \$1,500 late fee with respect to the 2009 Tier 2.1 Annual personal financial disclosure statement.

The Board considered a request in Docket No. 14-042 for reconsideration of a waiver of the two (2) \$1,500 late fees assessed against Kenneth W. Brockner, a member of the Columbia Town Council, Caldwell Parish, for filing his 2010 Tier 3 Annual personal financial disclosure statement 434 days late and his 2011 amended Tier 3 Annual personal financial disclosure statement 189 days late. On motion made, seconded and unanimously passed, the Board (1) declined to waive the \$1,500 late fee in connection with the 2010 Tier 3 Annual personal financial disclosure statement but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics; and, (2) declined to waive the \$1,500 late fee in connection with the amended 2011 Tier 3 Annual personal financial disclosure statement but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 14-218 for reconsideration of a waiver of the \$300 late fee assessed against James Johnston, a member of the DeSoto Parish School Board, for filing his 2011 Tier 3 Annual personal financial disclosure statement 6 days late. On motion made, seconded and unanimously passed, the Board affirmed its prior decision in which it declined to waive the \$300 late fee but suspended \$50 conditioned upon future compliance with the Code of Governmental Ethics and to be paid within 30 days of receipt of new order, or the suspended balance will become due and owing.

The Board considered a request in Docket No. 14-848 for reconsideration of a waiver of the \$1,500 late fee assessed against Francis Ebarb, the Chief of Police for Noble, Sabine Parish, for filing his 2012 Tier 3 Annual personal financial disclosure statement 189 days late. On motion made, seconded and unanimously passed, the Board affirmed its prior decision in which it declined to waive the \$1,500 late fee.

The Board considered a request in Docket No. 14-569 for reconsideration of a waiver of the \$1,500 late fee assessed against Johnny Mathews, a member of the East Hodge Board of Aldermen, Jackson Parish, for filing his 2011 Tier 3 Annual personal financial disclosure statement 261 days late. On motion made, seconded and unanimously passed, the Board affirmed its prior decision in which it declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics and provided that the \$500 is payable within 30 days unless other payment arrangements are made. If other payment arrangements not made and payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board unanimously adjourned at 11:01 a.m.

Secretary

APPROVED:

Chairman

