

LOUISIANA BOARD OF ETHICS  
MINUTES  
August 15, 2014

The Board of Ethics met on August 15, 2014 at 9:00 a.m. in the LaBelle Room on the 1<sup>st</sup> floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Backhaus, Blewer, Bruneau, Ingrassia, Larzelere, Leggio, McAnelly, Monroe and Shelton present. Absent was Board Members Lemke. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, Mike Dupree, Jennifer Land, Suzanne Mooney, Brett Robinson and Haley Williams.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 13-1089 for a waiver of the \$1,200, \$1,000 and \$1,200 late fees assessed against Pelican State Liberty PAC and Reilly O'Neal, its chairman, whose three (3) Monthly campaign finance disclosure reports were filed 5, 6 and 6 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,200, \$1,000 and \$1,200 late fees but suspended \$700, \$500 and \$700 of the late fees conditioned upon future compliance with the Campaign Finance Disclosure Act and with the option of a payment plan.

Board Member Lemke arrived at the meeting at 9:12 a.m.

Mr. Mr. Gus May, a former member of the Workforce Investment Board, #83, appeared before the Board in connection with a request in Docket No. 13-1799 for a waiver of the \$1,500 late fee assessed against him for filing his amended 2008 Tier 2.1 Annual personal financial disclosure statement 224 days late and a \$1,500 late fee assessed for filing his 2009 Tier 2.1 Annual personal financial disclosure statement 147 days late. After hearing from Mr. May, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee with respect to

the amended 2008 Tier 2.1 Annual personal financial disclosure statement but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics and declined to waive the \$1,500 late fee with respect to the 2009 Tier 2.1 Annual personal financial disclosure statement.

The Board considered a request in Docket No. 14-601 for a waiver of the \$1,500 late fee assessed against Henry Dean, a member of the Municipal Police Employees Retirement System, for filing his 2011 Tier 2.1 Annual personal financial disclosure statement 283 days late and a \$1,500 late fee assessed for filing his 2012 Tier 2.1 Annual personal financial disclosure statement 121 days late. On motion made, seconded and unanimously passed, the Board continued the matter.

Ms. Allison Rovira, a registered Legislative Branch lobbyist, appeared before the Board in connection with a request in Docket No. 14-800 for a waiver of the \$1,500 late fee assessed against her for failing to file a supplemental registration report. After hearing from Ms. Rovira, on motion made, seconded and unanimously passed, the Board rescinded the \$1,500 late fee, since Ms. Rovira indicated that at no time during 2013 did Fred's Amusement, Inc. inform her that they no longer required her services as a lobbyist. When she registered in 2014 as a lobbyist, she removed Fred's Amusement, Inc., because they informed her that her services were no longer required.

Mr. Paul Cramer, an employee of the New Orleans City Planning Commission, appeared before the Board in connection with a request for an advisory opinion in Docket No. 14-884 regarding whether he may work on the City's Comprehensive Zoning Ordinance and Official Maps when property personally owned by Mr. Cramer may be affected. After hearing from Mr. Cramer, on motion made, seconded and unanimously passed, the Board concluded that Section 1112A of the Code of Governmental Ethics would prohibit Mr. Cramer from working on revisions to the draft

Comprehensive Zoning Ordinances and Official Maps for the City of New Orleans that involve his personal property. The Board further advised that as long as Mr. Cramer is not participating on the revisions to the draft Comprehensive Zoning Ordinances and Official Maps for the City of New Orleans that affect his personal property, he may to continue to work on other parts of the revisions which do not affect his personal property.

On motion made, seconded and unanimously passed, the Board agreed to add Docket No. 14-928 to the agenda for consideration.

The Board considered an untimely request in Docket No. 14-928 for a waiver of the three (3) \$1,500 late fees assessed against Joseph Wall, St. Helena Parish Constable, Ward 1, for filing his 2009 Tier 3 Annual personal financial disclosure statement 800 days late, his 2010 Tier 3 Annual personal financial disclosure statement 433 days late and his 2011 Tier 3 Annual personal financial disclosure statement 226 days late. On motion made, seconded and unanimously passed, the Board declined to consider the request for a waiver since it was not timely submitted.

On motion made, seconded and unanimously passed, the Board adopted the following general consent agenda items:

On motion made, seconded and unanimously passed, the Board agreed to take action on items G6-G26 en globo subject to any items being removed from the en globo listing for further discussion. On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G6-G26, excluding items G6, G8, G11, G14, G16 and G20, taking the following action:

Declined to render an advisory opinion in Docket No. 14-672 regarding the Independence Volunteer Fire Department and the Volunteer Fire Board terminating fire chiefs, since the inquiries

involve past conduct and do not fall under the jurisdiction of the Code of Governmental Ethics.

Adopted the advisory opinion in Docket No. 14-781 concluding that no violation of the Code of Governmental Ethics is presented by Gordon Hutchinson continuing to serve as an unpaid reserve police officer for the City of Central if he is elected as Constable in Ward 3, District 1 of East Baton Rouge Parish, since the Constable's office is a separate agency from the City of Central Police Department. The Board further suggested the Mr. Hutchinson contact the Attorney General's Office regarding the application of the dual office holding laws.

Adopted an advisory opinion in Docket No. 14-784 concluding that (1) Section 1113B of the Code of Governmental Ethics prohibits Richard Madrigal, a member of the Ward 5 Fire District in Evangeline Parish, and his brother, Ezra Madrigal, from contracting with or being hired by the District, since Richard Madrigal serves as a board member for the District; (2) no violation of the Code of Governmental Ethics is presented by Richard Madrigal continuing to receive reimbursement for his services as a volunteer fireman, since it is a benefit to which he is duly entitled to receive from his agency; (3) Section 1119 of the Code of Governmental Ethics prohibits Ezra Madrigal from being hired as a full time employee of the District while his brother, Richard, serves as a member of the District's Board; (4) the question as to whether there are any restrictions regarding the official duties of Richard Madrigal if the District were to hire his brother, Ezra, is moot, since the employment of Ezra Madrigal is prohibited by Section 1119 of the Code of Governmental Ethics; and, (5) no violation of the Code of Governmental Ethics would be presented if Ezra Madrigal were to be hired by the District in the event his brother, Richard, resigns from the District's board.

Adopted an advisory opinion in Docket No. 14-853 concluding that Section 1113B of the Code of Governmental Ethics prohibits the employment of Adrienne Lay as the Director of

Rehabilitation Services for the St. Bernard Parish Hospital while she serves as a board member for the St. Bernard Parish Hospital Service District, since Ms. Lay's employment would be considered a contract or transaction under the supervision or jurisdiction of the St. Bernard Parish Hospital Service District Board.

Adopted an advisory opinion in Docket No. 14-858 concluding that no violation of the Code of Governmental Ethics is presented by Sky Eye, LLC., a company owned by Edward Markle, entering into a joint venture with Louisiana Tech to develop a drone program while Mr. Markle serves as a member of the Louisiana Board of Regents, since the oversight of the entire project would be conducted and controlled by the administration of Louisiana Tech and the Board of Regents would not have supervision or jurisdiction over the proposed venture.

Adopted an advisory opinion in Docket No. 14-861 concluding that no violation of the Code of Governmental Ethics is presented by Michael Sigur continuing to serve as an unpaid reserve officer for the City of Kenner Police Department and work private details following his election to the Kenner City Council, since the City of Kenner Police Department is a separate agency from the City of Kenner City Council.

Adopted an advisory opinion in Docket No. 14-864 concluding that (1) Section 1121A(1) of the Code of Governmental Ethics would prohibit Mark Debord, the former Regional Administrator for the Northeast Delta Human Services Authority Region VIII, Office of Behavioral Health, Department of Health and Hospitals, for two years from the termination of his public service, from contracting to provide Certified Training services to Northeast Delta Human Services Authority Region VIII, since as the Regional Administrator, he served as the agency head for Region VIII. However, Mr. Debord would not be prohibited from contracting with the other Regions of

Northeast Delta Human Services Authority, as he would not be contracting with his former agency; and, (2) no violation of the Code of Governmental Ethics is presented by Mr. Debord, as a retiree, being re-hired by Northeast Delta Human Services Authority Region VIII, or any other Region of Northeast Delta Human Services Authority, since he would be returning to employment on a part-time basis and not a contractual basis.

Adopted an advisory opinion in Docket No. 14-865 concluding that no violation of the Code of Governmental Ethics is presented by Dr. Jeffrey Rouse, Orleans Parish Coroner, performing mental health evaluations through his employment with Tulane University while, through his position as Coroner, he refers patients to the Interim LSU Hospital and Children's Hospital for treatment, since his mental health evaluations would not involve patients directed by the Coroner's Office because neither of those entities are affiliated with Tulane.

Adopted an advisory opinion in Docket No. 14-866 concluding that (1) Section 1121B of the Code of Governmental Ethics would generally prohibit Tonya Marshall, a former Environmental Specialist employed by the City of Baton Rouge/Parish of East Baton Rouge (City/Parish) Department of Public Works, for a period of two years following the date of her retirement from the City/Parish, from assisting another person in any transactions in which she participated while she worked as an Environmental Specialist in the Department of Public Works, Environmental Division. Ms. Marshall is also prohibited from rendering the same service which she rendered during her public employment on a contractual basis to, for, or on behalf of her former agency for a period of two years following the date of her retirement. The Board further advised that Section 1121C of the Code of Governmental Ethics would prohibit any entity in which Ms. Marshall is an officer, director, trustee, partner, or employee, for a period of two years following the termination of her

public employment, from assisting another person, for compensation, in a transaction or in an appearance in connection with a transaction in which she participated at any time during her public employment and which involves her former public employer.

Adopted an advisory opinion in Docket No. 14-869 concluding that Section 1121A(1) of the Code of Governmental Ethics would prohibit Anthony M. Parrozzo, Sr., the former Fire Chief of the Independence Volunteer Fire Department, from conducting business with the Independence Volunteer Fire Department for two years following the date of his resignation as Fire Chief of the Independence Volunteer Fire Department, since as the former Fire Chief he would be considered the agency head of the Independence Volunteer Fire Department. The Board further advised that no violation would be presented by Mr. Parrozzo assisting his employer in transactions involving other fire departments, the Sheriff's Office and Police Departments located in Tangipahoa Parish as long as he does not assist in matters involving the Independence Volunteer Fire Department.

Adopted an advisory opinion in Docket No. 14-870 concluding that no violation of the Code of Governmental Ethics is presented by Daniel Gillane, an employee of the Lafayette Parish Public Library, contracting to provide compensated consulting services to a private high school to assist them in filing their E-Rate application, since the filing of an E-Rate application for a high school is not the a part of the responsibilities of the Lafayette Parish Library.

Adopted an advisory opinion in Docket No. 14-871 concluding that no violation of the Code of Governmental Ethics is presented by David Conner, a member of the Calcasieu Parish Planning and Zoning Board, participating in a matter before the Board involving a company that received assistance from the Southwest Louisiana Economic Development Alliance and with whom he is employed, since Mr. Conner is not participating in a matter in which either he or his employer has

a substantial economic interest.

Adopted an advisory opinion in Docket No. 14-879 concluding that no violation of the Code of Governmental Ethics is presented by Betty Blakes, a member of the Monroe City Council, voting on a food truck ordinance when a food truck will be operated by her brother-in-law, since the ordinance, in the current form, is of no greater benefit to her brother-in-law than to any other person who may want to operate a food truck.

Adopted an advisory opinion in Docket No. 14-887 concluding that no violation of the Code of Governmental Ethics is presented by Kimberly McDaniel, an employee of the Department of Transportation and Development (DOTD), accepting employment with CobbFendley, an engineering and consulting firm based out of Houston, Texas, since CobbFendley has no contracts with the DOTD. The Board further cautioned Ms. McDaniel that if, within two years of the termination of her public service, CobbFendley were to contract with Section 77 of the DOTD, she would be prohibited from receiving any compensation for services rendered on any project in which she participated in while employed in Section 77 of DOTD.

Adopted an advisory opinion in Docket No. 14-927 concluding that no violation of the Code of Governmental Ethics is presented by Tammy Pike, a former employee of the Real Estate Division (RED) of the Department of Transportation and Development, assisting her current employer, CSRS, in transactions involving the RED, since Ms. Pike did not participate in any work involving CSRS while working with the DOTD. The Board further advised that Section 1121B(1) of the Code of Governmental Ethics would prohibit Ms. Pike from rendering any services for RED, through the current contract with CSRS, that she rendered while employed by RED.

Absent requested additional information, declined to render an advisory opinion in Docket

No. 14-531 regarding whether Homer Sullivan, an employee of the Town of Plain Dealing, may be appointed as the Water Superintendent while his wife serves as the Water Clerk.

Adopted an advisory opinion in Docket No. 14-775 concluding that Section 1111A(1) of the Code of Governmental Ethics prohibits W. Henson Moore, Chairman of the Battle of New Orleans Bicentennial Commission, from receiving compensation from a motion picture enterprise based upon the Battle of New Orleans, since the core duties of the Commission are to celebrate and commemorate the bicentennial of the Battle of New Orleans through local and statewide observances, educational events and activities planned, encouraged, coordinated and conducted by the Commission. Board Member Leggio recused himself.

On motion made, seconded and passed by a vote of 8 yeas by Board Members Backhaus, Blewer, Ingrassia, Larzelere, Leggio, McAnelly, Monroe and Shelton and 2 nays by Board Members Bruneau and Lemke, adopted an advisory opinion in Docket No. 14-850 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Craig Romero from receiving compensation through his employer, Laris Insurance Agency, from customers of the Port of Iberia if he becomes an employee of the Port, since compensation received from the existing insurance policy or renewal would be considered receiving something of economic value for services rendered. The Board further advised that any compensation received from companies that had relationships with the Port would be prohibited if Mr. Romero was employed by the Port.

Adopted an advisory opinion in Docket No. 14-859 concluding that Section 1121 of the Code of Governmental Ethics would prohibit Chad Chauvin, a former project manager in the Project Management Division of the Louisiana Coastal Restoration and Protection Authority (CRPA), for a period of two years from the termination of his public employment with the CRPA, from assisting

any person, such as his current employer, PPMG Public Services, LLC, for compensation in any transaction in which he participated in at any time during his public employment with the State of Louisiana. The Board further advised that the Code of Governmental Ethics would not prohibit Mr. Chauvin from administering a contract for the performance of professional project management and project control services to CPRA so long as any service he renders on behalf of PPMG is not one that he performed while at CPRA.

Adopted an advisory opinion in Docket No. 14-863 with respect to Maureen O'Brien, a member of the St. Tammany Parish City Council, soliciting certain agencies for business in her part-time employment concluding the following:

**( 1) Whether Ms. O'Brien is allowed to do business with any organization in which the Council selects board members?**

The Board concluded that generally, Section 1111C(2)(d) of the Code of Governmental Ethics prohibits a public servant from receiving any thing of economic value for the provision of services to or for a person that has or seeks a business, contractual or financial relationship with the public servant's agency. If an organization has a contractual, financial or business relationship with St. Tammany Parish, Ms. O'Brien would be prohibited from receiving compensation for services provided to such an organization.

The Board further advised that in order to receive a more detailed opinion, Ms. O'Brien should provide the Board with the name of the organization that she would like to engage in business with and the details of such organizations involvement with St. Tammany Parish.

**2) Is Ms. O'Brien allowed to do business with a company that has received a Community Development Block Grant (CDBG)?**

The Board concluded that because the St. Tammany Parish Council approves the expenditure of CDBG funds to companies in St. Tammany Parish, any commission or compensation Ms. O'Brien receives based upon sales to any such company that receives or is seeking to receive CDBG funds from the Council is prohibited by Section 1111C(2)(d) of the Code of Governmental Ethics as the entity would have a business or financial relationship with her agency.

**3) Whether Ms. O'Brien may do business with other separate political subdivisions which the Council has no financial control, oversight, or supervision such as the Clerk of Court, Assessor, or Sheriff?**

The Board concluded that the Code of Governmental Ethics would not prohibit Ms. O'Brien from soliciting business from the Clerk of Court, Assessor, Sheriff or other separate political subdivisions. Section 1113A of the Code of Governmental Ethics prohibits a public servant from bidding on or entering into a contract, subcontract or other transaction that is under the supervision or jurisdiction of the public servant's agency. As the Clerk of Court, Assessor and Sheriff are not part of Ms. O'Brien's agency, no violation of Section 1113A is presented.

**4) Whether Ms. O'Brien can do business with other parish governments?**

The Board concluded that the Code of Governmental Ethics would not prohibit Ms. O'Brien from soliciting business from other parish governments as they are separate political subdivisions and not under the supervision or jurisdiction of the St. Tammany Parish Government. As such, there is no prohibition from soliciting business from such governments.

**5) Would working as a substitute teacher prevent Ms. O'Brien from being able to solicit business from the school board? Ms. O'Brien indicated that she would be paid directly from the school in which she provides the substitute teaching services for and not the school board.**

The Board concluded that Ms. O'Brien is not prohibited by the Code of Governmental Ethics from soliciting business from the school board if she serves as a substitute teacher and if she is paid directly by the school and not the school board. Section 1113A of the Code prohibits a public servant from bidding on or entering into a contract, subcontract or other transaction that is under the supervision or jurisdiction of the public servant's agency. Because Ms. O'Brien's agency would be the school and not the school board, she would not be prohibited from contracting with the school board for the provision of advertising and radio services.

Adopted an advisory opinion in Docket No. 14-868 concluding that Section 1119A of the Code of Governmental Ethics prohibits Vida Watson from being employed by the Livingston Parish School System, since her spouse, Jimmy Watson, serves as a member of the Livingston Parish School Board and is an agency head for the Livingston Parish School System and her brother-in-law, John Watson, serves as the Superintendent of the Livingston Parish School System.

On motion made, seconded and unanimously passed, the Board agreed to take action on items G27-G29 en globo subject to any items being removed from the en globo listing for further discussion. On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G27-G29, excluding G27, taking the following action:

In connection with an Answer submitted by Larry Fontenot, a member of the Sweet Potato Advertising and Development Commission, in Docket No. 14-904 in response to a notice of delinquency issued requesting he file his 2012 Tier 2.1 Annual personal financial disclosure statement, instructed the staff to advise Mr. Fontenot that he has 7 business days to file his 2012 Tier 2.1 Annual personal financial disclosure statement.

In connection with an Answer submitted by John Lockett, a former member of the West St. Mary Parish Port Authority, in Docket No. 14-905 in response to a notice of delinquency issued requesting he file his 2012 Tier 2.1 Annual personal financial disclosure statement, instructed the staff to advise Mr. Lockett that he has 7 business days to file his 2012 Tier 2.1 Annual personal financial disclosure statement.

In connection with an Answer submitted by Sandra Black, a member of the Kenner City Council, Jefferson Parish, in Docket No. 14-573 in response to a notice of delinquency issued requesting she amend her personal financial disclosure statements, advised that the amendments are not required for the 2008, 2009 and 2010 personal financial disclosure statements based on the unique facts provided by Ms. Black.

Accepted for filing, the disclosure statements filed in Docket No. 14-958 for April, May and June, 2014.

The Board considered the following general business agenda items:

On motion made, seconded and unanimously passed, the Board approved the minutes of the July 17-18, 2014 meetings.

The Board considered a proposed consent opinion in Docket No. 09-348 regarding Gregory Meffert, the former Technology Chief for the City of New Orleans, receiving a thing of economic value for performing services to or for companies that had a relationship with the city and/or receiving gifts from those companies. On motion made, seconded and unanimously passed, the Board adopted the for publication, the consent opinion in which Greg Meffert, the former Technology Chief for the City of New Orleans, agrees that a violation of Section 1115 of the Code of Governmental Ethics occurred by his receiving the use of a credit card and yacht from Mark St. Pierre at a time when Mr. St. Pierre's company had a business relationship with the City of New Orleans and in which Mr. Meffert agrees to pay a fine of \$2,000.

The Board considered a proposed consent opinion in Docket No. 11-1049 regarding P.T. Frank Palmero, the former director of the Southwest Avoyelles Water Works District, having his son-in-law employed with the district. On motion made, seconded and unanimously passed, the Board adopted for publication, the consent opinion in which P.T. Frank Palermo, the former director of the Southwest Avoyelles Parish Water System, agrees that a violation of Section 1119 of the Code of Governmental Ethics occurred by virtue of the employment of his son-in-law, Burt Leonard, with the Southwest Avoyelles Parish Water System while he served as the director and agency head of the Southwest Avoyelles Parish Water System and in which Mr. Palermo agrees to pay a fine of \$500.

The Board considered a proposed consent opinion in Docket No. 12-1699 regarding Loretta Britt, a former administrative assistant with the Louisiana Workforce Commission, who utilized a

La Carte Purchasing Card for personal use. On motion made, seconded and unanimously passed, the Board deferred the matter to the September meeting.

The Board considered proposed consent opinions in Docket No. 12-1772 regarding the employment of Edward Harris with the Town of Richwood while his sister, Margie Davis, served as an Alderwoman for the Town of Richwood. On motion made, seconded and unanimously passed, the Board adopted for publication, the consent opinions in which (1) Margie Davis agrees that a violation of Section 1119A of the Code of Governmental Ethics occurred by virtue of her brother's employment with the Town of Richwood while she served as a member of the Town of Richwood's Board of Aldermen and in which Ms. Davis agrees to pay a fine of \$500 to be made payable in five (5) equal monthly installments of \$100; and, (2) Edward Harris agrees that a violation of Section 1119A of the Code of Governmental Ethics occurred by virtue of his employment with the Town of Richwood while his sister served as a member of the Town of Richwood's Board of Aldermen and a violation of Section 1121A of the Code of Governmental Ethics occurred by virtue of his employment with the Town of Richwood within two years of the termination of his service as a member of the Town of Richwood Board of Aldermen and in which Mr. Harris agrees to pay a fine of \$500 to be made payable in five (5) equal monthly installments of \$100.

The Board considered a proposed consent opinion in Docket No. 13-371 regarding Ingrid Simon, a former employee of Baton Rouge City Court, receiving money to fix tickets. On motion made, seconded and unanimously passed, the Board adopted for publication, the consent opinion in which Ingrid Simon, a former employee of Baton Rouge City Court, agrees that a violation of Section 1115B(1) of the Code of Governmental Ethics occurred by virtue of soliciting and accepting \$100 on 30 occasions during the years 2007 to 2010 from persons whose activities were regulated

by her agency, the Baton Rouge City Court, and in which Ms. Simon agrees to pay a fine of \$2,500 to be paid in monthly installments of \$50 per month.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a proposed consent opinion in Docket No. 13-1185 regarding Jimmy Randle, Jr., City Councilman, City of Plaquemine, who paid drivers on election day to transport voters to the polls. On motion made, seconded and unanimously passed, the Board adopted for publication, the consent opinion in which Jimmie Randle, Jr., a successful candidate for Selectman, City of Plaquemine, in the November 6, 2012 election, agrees that a violation of Section 1531B of the Campaign Finance Disclosure Act occurred by paying individuals to transport voters to the polls on November 6, 2012 and in which Mr. Randle agrees to pay a fine of \$800.

The Board considered a proposed consent opinion in Docket No. 13-1333 regarding the Village of Epps entering into a contract with Jude McCoy through his company, Fluid Helix, LLC, while his sister-in-law was employed by the village's police department. On motion made, seconded and unanimously passed, the Board adopted for publication, the consent opinion in which Jude McCoy agrees that a violation of Section 1113A(1)(a) of the Code of Governmental Ethics occurred by virtue of his provision of compensated computer-related services through his company, Fluid Helix, LLC, to the Village of Epps Police Department while his sister-in-law, Tina McClandish, was employed by the Village of Epps Police Department and in which Mr. McCoy agrees to pay a fine of \$500.

The Board considered a request for an advisory opinion in Docket No. 14-926 regarding whether Renea Johnson, the wife of a current member of the Winn Parish School Board, may accept a promotion to a leadership position in Winn Parish schools. On motion made, seconded and

unanimously passed, the Board concluded that, based upon the specific facts, no violation of the Code of Governmental Ethics is presented by Renea Johnson being promoted to a leadership position such as principal, assistant principal or Central Office Supervisor of Instruction in Winn Parish schools while her husband serves as a member of the Winn Parish School Board, since Ms. Johnson was employed by Winn Parish schools for at least one year prior to her husband's election to the Winn Parish School Board. The Board further advised that Section 1112B(1) of the Code of Governmental Ethics will prohibit Ms. Johnson's husband, Durane Johnson, from participating in a transaction involving the employment of his wife, Renea Johnson, or any other transaction in which she has a substantial economic interest.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board unanimously agreed to take action on the items contained in the Campaign Finance Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion. On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Campaign Finance Waiver Chart, excluding Docket Nos. 14-919, 14-922 and 14-925, taking the following action:

The Board considered requests for "good cause" waivers of late fees assessed against the following candidates and adopted the staff recommendations on the requests:

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-920 for a waiver of the \$2,000 late fee assessed against Joel Porter, a candidate for City Judge, City of Baton Rouge, East Baton Rouge Parish in the November 6, 2012 election, for filing his 2012 Supplemental Report 70 days late. On motion made, seconded and unanimously passed, the Board reduced the \$2,000 late fee to \$600.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-923 for a waiver of the \$2,000 late fee assessed against Pete O'Connell, a candidate for Council Member, District E, City of Slidell, St. Tammany Parish, in the April 5, 2014 election, for filing his 2013 Annual Report 72 days late. On motion made, seconded and unanimously passed, the Board rescinded the \$2,000 late fee.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-919 for a waiver of the \$2,000 late fee assessed against Samuel L. "Sam" Jenkins Jr., a candidate for Mayor, City of Shreveport, Caddo Parish, in a future election, for filing his 2013 Annual Report 89 days late. On motion made, seconded and unanimously passed, the Board waived the \$2,000 late fee.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-922 for a waiver of the \$2,500 late fee assessed against Kent Savoie, a candidate for Judge, 3rd Circuit Court of Appeals, 2nd District, in the November 4, 2014 election, for filing his 180-P Report 26 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,500 late fee. Board Member Backhaus recused himself.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 14-925 for a waiver of the \$600 late fee assessed against Lemar F. Marshall, a candidate for Council Member, District 4, City of Hammond, Tangipahoa Parish in the October 2, 2010 election, for filing his 2013 Supplemental Report 15 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$600 late fee and offered a payment plan of \$100 per month.

The Board unanimously agreed to take action on the items contained in the Personal

Financial Disclosure Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion. On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Personal Financial Disclosure Waiver Chart, excluding Docket Nos. 14-906, 14-912, 14-916, 14-918 and 14-929, taking the following action:

The Board considered requests for “good cause” waivers of late fees assessed against the following individuals and adopted the staff recommendations on the requests:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 14-908 from Wayne Riley of a \$1,500 late fee;  
Docket No. 14-909 from Donald Oliveaux of a \$1,500 late fee and offer payment plan;  
Docket No. 14-911 from Alton Thomas, Jr. of a \$1,500 late fee; and,  
Docket No. 14-915 from Alan Square of a \$1,500 late fee.

The Board unanimously waived the late fees assessed against the following:

Docket No. 14-910 from Andre Naquin of a \$2,500 late fee; and  
Docket No. 14-914 from Sunada Brookins of a \$100 late fee.

The Board considered a request in Docket No. 14-907 for a waiver of the \$1,500 late fee assessed against Ray Morvant, a member of the Louisiana Highway Safety Commission, for filing his 2011 Tier 2.1 Annual personal financial disclosure statement 318 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics and provided that the \$500 is payable within 30 days unless other payment arrangements are made. If other payment arrangements not made and payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board considered a request in Docket No. 14-906 for a waiver of the two (2) \$2,500 late fees assessed against Lindora Baker, a member of the Caddo Parish Commission, for filing her 2008

Tier 2 Candidate personal financial disclosure statement 146 days late and her 2012 Tier 2 Annual personal financial disclosure statement 130 days late. On motion made, seconded and unanimously passed, the Board affirmed its decision to decline to waive the \$2,500 late fee with respect to the 2008 Tier 2 Candidate personal financial disclosure statement and declined to waive the \$2,500 late fee with respect to the 2012 Tier 2 Annual personal financial disclosure statement but suspended \$1,500 conditioned upon future compliance with the Code of Governmental Ethics and provided that the \$1,000 is payable within 30 days unless other payment arrangements are made. If other payment arrangements not made and payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board considered a request in Docket No. 14-912 for a waiver of the \$1,500 late fee assessed against Tavis Pialltoly, a member of the Board of Examiners in Dietetics and Nutrition, for filing his 2011 Tier 2.1 Annual personal financial disclosure statement 263 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee.

The Board considered a request in Docket No. 14-916 for a waiver of the two (2) \$1,500 late fees assessed against Shirley Ann Jordan, a member of the West Baton Rouge Museum Board, for filing her 2009 Tier 2.1 Annual personal financial disclosure statement 81 days late and her 2011 Tier 2.1 Annual personal financial disclosure statement 317 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee with respect to the 2009 Tier 2.1 Annual personal financial disclosure statement but suspended \$500 conditioned upon future compliance with the Code of Governmental Ethics and declined to waive the \$1,500 late fee with respect to the 2011 Tier 2.1 Annual personal financial disclosure statement.

The Board considered a request in Docket No. 14-918 for a waiver of the \$1,500 late fee

assessed against Arthur Champ, Jr., a member of the St. Martinville City Council, St. Martin Parish, for filing his 2012 Tier 3 Annual personal financial disclosure statement 202 days late. On motion made, seconded and unanimously passed, the Board continued the matter to the September meeting.

Mr. Cornell Dukes, a member of the Pointe Coupee Parish Police Jury, appeared before the Board in connection with a request in Docket No. 14-929 for a waiver of the \$1,500 late fee assessed against him for filing his 2011 Tier 3 Annual personal financial disclosure statement 310 days late. After hearing from Mr. Dukes, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered an untimely request in Docket No. 14-917 for a waiver of the \$1,500 late fee assessed against Patrick Richard, a member of the Grand Coteau Board of Aldermen, for filing his 2010 Tier 3 Annual personal financial disclosure statement 300 days late and a timely request for a waiver of the \$1,500 late fee assessed against him for filing his 2011 Tier 3 Annual personal financial disclosure statement 188 days late. On motion made, seconded and unanimously passed, the Board declined to consider the waiver requests.

The Board considered a request for reconsideration of an untimely request in Docket No. 13-409 for a waiver of the \$1,500 late fee assessed against Alvin Jackson, the Mayor of Richwood, Ouachita Parish, for filing his 2010 Tier 3 Candidate personal financial disclosure statement 134 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics and instructed the staff to offer Mr. Jackson a payment plan.

The Board recessed at 10:52 a.m. and resumed back into general business session at 11:09

a.m.

The Board discussed proposed changes to the current campaign finance waiver guidelines. The Board recommended that the wording in Step 2 be changed from “7 days prior” to “within 7 days”. The Board also agreed to keep the guidelines with respect to PACs.

The Board considered for discussion the work of the Forms Committee and changes to the LEADERS System. On motion made, seconded and unanimously passed, the Board instructed the staff to forward the proposed forms to Representative Tim Burns, Chairman of the House & Governmental Affairs Committee, and to Senator Jody Amedee, Chairman of the Senate & Governmental Affairs Committee. Board Member Bruneau expressed his appreciation to the members of the Forms Committee, the Board’s staff and the staff of the House and Senate for their hard work.

The Board unanimously adjourned at 11:27 a.m.

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Secretary

APPROVED:

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Chairman

